

MARY A. SCHOTT CLERK
US BANKRUPTCY COURT
300 BOOTH ST
RENO, NEVADA 89509

RECEIVED
AND FILED

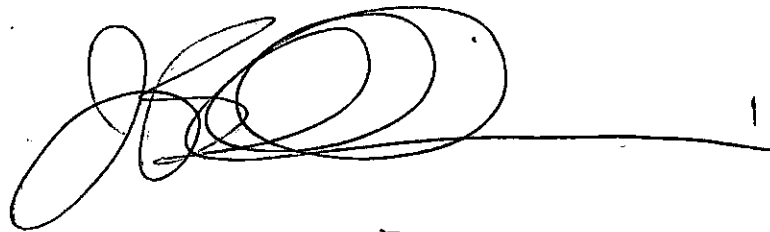
NOV 18 2024

U.S. BANKRUPTCY COURT
MARY A. SCHOTT, CLERK

MA'AM,

I, JOSEPH C. BELLINA, ^{AM} ~~AT~~ ^{11/12/24}

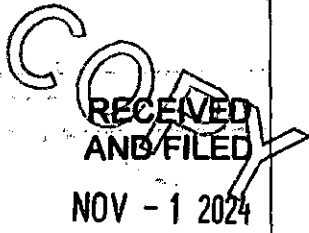
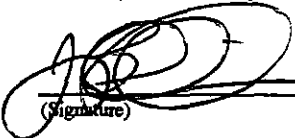
SUBMITTING ADDITIONAL TAX LOT
AND PURCHASE INFORMATION REGARDING
CASE # 24-50792, META MATERIALS, INC.
FOR PREVIOUSLY SUBMITTED CLAIMS.
I HAVE INCLUDED COPIES OF THOSE CLAIM
FORMS. THANK YOU VERY MUCH
FOR YOUR TIME.



11/12/24

JOSEPH C. BELLINA
165 KLINGER DR
SUGAR LOAF, PA 18249

NVB 3001 (Effective 1/21)

| UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA | | PROOF OF INTEREST |
|--|---|--|
| Name of Debtor: META MATERIALS, INC. | Case Number: 24-50792 |  NOV - 1 2024 U.S. BANKRUPTCY COURT MARY A. SCHOTT, CLERK |
| 1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): JOSEPH C. BELLINA 165 KLINGER DR SUGAR LOAF, PA 18249- 3222 | <input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors. | |
| Telephone Number: 609-234-2465 | | |
| NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein. | | COURT USE ONLY |
| Account or other number by which Interest holder identifies Debtor: ALLY INVEST #847-74304-0 RR A14 | Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: N/A <input type="checkbox"/> amends a previously filed Proof of Interest dated: N/A | |
| 2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: ALLY INVEST SECURITIES P.O. BOX 30248 CHARLOTTE, NC Telephone Number: 855-880-2559 28230 | 3. Date Equity Interest was acquired: VARIOUS | |
| 4. Total amount of member interest: 70 CALL OPTIONS | 5. Certificate number(s): N/A | |
| 6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: 70 \$0.50 CALL OPTIONS, EXPIRING 01/17/25 | | |
| 7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary. | | |
| 8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest. | | |
| 9. Signature: Check the appropriate box. <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (Attach copy of power of attorney, if any.) (See Bankruptcy Rule 3004.) (See Bankruptcy Rule 3005.) | | |
| I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief. | | |
| Print Name: JOSEPH C. BELLINA Title: N/A Company: N/A Address and telephone number (if different from notice address above): N/A |  (Signature) Telephone number: 609 234 2465 | 10/26/2024 (Date) email: joseph.bellina1977@gmail.com |

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

g.mail.
com

April 1, 2023 - April 30, 2023

PAGE 5 OF 12

ACCOUNT NUMBER 847-74304-10 RR A14



Ally Invest Securities
P.O. Box 30248, Charlotte, NC 28230
Phone: 855.880.2559 | Fax: 866.699.0563
Member FINRA and SIPC - Ally.com/invest

JOSEPH C BELLINA

EX-101110052
2011-01-01 TO 2011-01-01
2011-01-01 TO 2011-01-01

PORTFOLIO SUMMARY (CONTINUED)
2011-01-01 TO 2011-01-01

| DESCRIPTION | SYMBOL/ CUSIP | ACCOUNT TYPE | QUANTITY | PRICE | MARKET VALUE | LAST PERIOD'S MARKET VALUE | % CHANGE | EST. ANNUAL INCOME | % OF TOTAL PORTFOLIO |
|---|------------------|-----------------|----------|---------------|--------------------|-------------------------------|----------|-----------------------|-------------------------|
| EQUITIES / OPTIONS (continued) | | | | | | | | | |
| NEXT BRIDGE HYDROCARBONS INC A PRIVATE COMPANY | 8AQ9903 | C | 68,700 | Not Available | 12.14 | 12.25 | N/A | | N/A |
| Total Equities | | | | | \$60,405.32 | | | \$446 | 91.056% |
| Total Options | | | | | \$-5,554.57 | | | | 8.922% |
| TOTAL PRICED PORTFOLIO | | | | | \$54,850.75 | | | \$446 | |

ACCOUNT ACTIVITY

| TRANSACTION | DATE | ACCOUNT TYPE | DESCRIPTION | QUANTITY | PRICE | DEBIT | CREDIT |
|--------------------------------------|----------|-----------------|---|----------|------------|-----------------|----------------|
| BUY / SELL TRANSACTIONS | | | | | | | |
| BOUGHT | 04/03/23 | C | META MATLS INC COMMON STOCK CUSIP: 59134N104 | 100 | \$0.419699 | \$46.92 | |
| BOUGHT | 04/21/23 | C | CALL MMAT 01/17/25 META MATLS INC OPEN CONTRACT Security Number: 8SZHQY7 | 15 | 0.09 | 142.94 | |
| SOLD | 04/21/23 | C | CALL MMAT 01/19/24 META MATLS INC CLOSING CONTRACT Security Number: 8SDWB3 | 15 | 0.02 | | 22.02 |
| BOUGHT | 04/26/23 | C | META MATLS INC COMMON STOCK CUSIP: 59134N104 | 1,800 | 0.20 | 378.00 | |
| Total Buy / Sell Transactions | | | | | | \$567.86 | \$22.02 |

CPA

11/19/24 - 11/19/24

Y B E N E F I C I A R Y A C C O U N T

CALL PURCHASE CONFIRMATIONS

CASE # 24-50792

PAGE 4 OF 12



000000 25573

DATE: 10/15/54. C

| | |
|---------------|----------------|
| SYMBOL | ACCOUNT |
| CUSIP | TYPE |

DESCRIPTION

| | |
|---------------|----------------|
| SYMBOL | ACCOUNT |
| CUSIP | TYPE |

CALL MTRR 01/17/25 7

MATTERPORT INC CLASS A

EXP 01/17/2025

SUNOCO LP

**COMMON UNITS REPRESENTING
LIMITED PARTNER INTERESTS**

SOFT TECHNOLOGIES INC
COMMON STOCK

CALL SOFI 01/17/25 1

SOFT TECHNOLOGIES INC

EXP 01/17/2025

SPIRE GLOBAL INC

CLASS A COMMON STOCK

CALL SPIR 01/19/24

SPIRE GLOBAL INC CLASS A

EXP 01/19/2024

TRONKA MEDIA GR

TRIMBA MEDIA GROUP INC
COMMON STOCK

GRANDME HOLDING

23ANDME HOLDING CO
CLASS A COMMON STOCK

CLASS A COMMON STOCK

CALL ME 01/17/25 7
CONFIDENTIAL

23ANDME HOLDING CO CL A
EXP 01/17/2025

EXP 01/17/2025

2001, 2002, 2003

DATE: 11/25/2010 09:45:00 AM

1992-1993 - 1994-1995



Ally Invest Securities
P.O. Box 30248, Charlotte, NC 28230
Phone: 855.880.2559 | Fax: 866.699.0563
Member FINRA and SIPC - Ally.com/invest

Account cleared by
Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, Texas 75201

Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M K T | C A P |
|--------------|-----|--------------------------------------|-------------|--------------------|------|-----------|-----------|-------|----------|-----------------|---------------|------------|---------|-------------|-------------|
| 1 | B | 05/22/23 | 05/23/23 | 15 | | 0.1000000 | 150.00 | 7.50 | 0.44 | 0.00 | H5211 | 157.94 | A140523 | 5 | 1 |
| Desc: | | CALL MMAT 01/17/25 | | .50 META MATLS INC | | | | | | Interest/STTax: | | 0.00 | CUSIP: | 8SZHQY7 | |
| Currency: | | USD | | ReportedPX: | | | | | | MarkUp/Down: | | | | | |
| Trailer: | | OPEN CONTRACT | | | | | | | | | | | | | |
| 1 | S | 05/22/23 | 05/24/23 | 8,000 | VOCL | 0.0621000 | 496.80 | 24.84 | 1.17 | 0.00 | G4779 | 470.79 | A140524 | 6 | 1 |
| Desc: | | CREATD INC COMMON STOCK | | | | | | | | | | | | | |
| Currency: | | USD | | ReportedPX: | | | | | | Interest/STTax: | | 0.00 | CUSIP: | 225265107 | |
| Trailer: | | MarkUp/Down: | | | | | | | | | | | | | |
| 1 | B | 05/22/23 | 05/24/23 | 150 | GTII | 1.1400000 | 171.00 | 6.45 | 0.00 | 0.00 | G9064 | 177.45 | A140524 | 6 | 1 |
| Desc: | | GLOBAL TECH INDUSTRIES GROUP INC COM | | | | | | | | | | | | | |
| Currency: | | USD | | ReportedPX: | | | | | | Interest/STTax: | | 0.00 | CUSIP: | 37955R107 | |
| Trailer: | | MarkUp/Down: | | | | | | | | | | | | | |
| 1 | B | 05/22/23 | 05/24/23 | 200 | GTII | 1.1100000 | 222.00 | 6.95 | 0.00 | 0.00 | U8091 | 228.95 | A140524 | 6 | 1 |
| Desc: | | GLOBAL TECH INDUSTRIES GROUP INC COM | | | | | | | | | | | | | |
| Currency: | | USD | | ReportedPX: | | | | | | Interest/STTax: | | 0.00 | CUSIP: | 37955R107 | |
| Trailer: | | MarkUp/Down: | | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

TOTAL SHARES BOUGHT:

TOTAL SHARES SOLD:

05/22/23

365.00

-8,000.00

TOTAL DOLLARS BOUGHT:

TOTAL DOLLARS SOLD:

-564.34

470.79



Ally Invest Securities
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Phone: 855.880.2559 | Fax: 866.699.0563
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Account cleared by
Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, Texas 75201

Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M C K A T P |
|--------------|-----|---------------------------------------|-------------|-----|-----|-----------|-----------|------|----------|---------------|---------------|------------|---------|----------------------------|
| 1 | S | 06/06/23 | 06/07/23 | 10 | | 0.0200000 | 20.00 | 5.00 | 0.32 | 0.00 | F8918 | 14.68 | A140607 | 5 1 |
| Desc: | | CALL MMAT 01/19/24 2 META MATLS INC | | | | | | | | | | | | |
| Currency: | | USD | | | | | | | | | | | | |
| Trailer: | | CLOSING CONTRACT | | | | | | | | | | | | |
| 1 | B | 06/06/23 | 06/07/23 | 10 | | 0.0900000 | 90.00 | 5.00 | 0.29 | 0.00 | F8929 | 95.29 | A140607 | 5 1 |
| Desc: | | CALL MMAT 01/17/25 .50 META MATLS INC | | | | | | | | | | | | |
| Currency: | | USD | | | | | | | | | | | | |
| Trailer: | | OPEN CONTRACT | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

TOTAL SHARES BOUGHT:

TOTAL SHARES SOLD:

06/06/23

10.00

-10.00

TOTAL DOLLARS BOUGHT:

TOTAL DOLLARS SOLD:

-95.29

14.68

Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, TX 75201



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Account Number: 847-74304

OFFICE SERVING YOU
ALLY INVEST - HOUSE REP
855-880-2559

JOSEPH C BELLINA
TOD
165 KLINGER DRIVE
SUGARLOAF PA 18249-3222

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.

2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.

3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.

4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.

5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.

6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.

7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.

8. Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.

9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.

10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.

11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.

12. Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.

13. Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.

14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid.

Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.

15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".

16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.

17. Backup Withholding - If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.

18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.

19. If the official statement/prospectus is available, you can view them at emma.msrb.org. In the event the official statement/prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.

20. Payment of Interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

| MKT = Market in which transaction was Executed/Cleared | Buy/Sell Code | Account Types | Currency Codes | CAP=Capacity in which your Introducing Broker or Apex has acted: |
|--|-------------------|--------------------------------|---------------------|--|
| 1-New York Stock Exchange | B = Buy | 1-Cash | AUD: Australian \$ | 1-2, 4-5, 8 - As AGENT |
| 2-NYSE American | S = Sell | 2-Margin | CAD: Canadian \$ | 3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction |
| 3-Other US Exchanges | NAB = Cancel Buy | 3-Nonmargin | EUR: Euros | 6-As AGENT for both buyer and seller. |
| 4-Mutual Funds | NBS = Cancel Sell | 4-Non-Negotiable | GBP: British Pounds | 7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction |
| 5-Options | | 5-Short | HKD: Hong Kong \$ | |
| 6-Over-the-Counter/NASDAQ | | 6-Special Subscription | JPY: Japan Yen | |
| | | 7-Description Available on Req | MXN: Mexican Peso | 9-Other |
| | | 8-Description Available on Req | NZD: New Zealand \$ | 0-Multiple cap.(two or more of agent, principal, & agent for another) |
| | | 9-RVP/DVP | Add'l Currencies | 67 - Riskless Principal |
| | | | Contact your broker | A - As Agency |
| | | | | B - As Principal |

ACCOUNTS CARRIED BY APEX CLEARING CORPORATION
MEMBER FINRA, SIPC & NYSE (214) 765-1055 FAX ID #13-2967453

S1010B81 - 05/21



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Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M C K A T P |
|---|-----|------------|-------------|-----|-----|-----------|-----------|------|----------|------------|------------|------------|---------|-------------|
| 1 | S | 06/07/23 | 06/08/23 | 2 | | 0.0300000 | 6.00 | 1.00 | 0.08 | 0.00 | F3532 | 4.92 | A140608 | 5 1 |
| Desc: CALL MMAT 01/18/24 2 META MATLS INC | | | | | | | | | | | | | | |
| Currency: USD ReportedPX: | | | | | | | | | | | | | | |
| Trailer: CLOSING CONTRACT | | | | | | | | | | | | | | |
| 1 | B | 06/07/23 | 06/08/23 | 2 | | 0.1000000 | -20.00 | 1.00 | 0.06 | 0.00 | F0105 | 21.06 | A140608 | 5 1 |
| Desc: CALL MMAT 01/17/25 .50 META MATLS INC | | | | | | | | | | | | | | |
| Currency: USD ReportedPX: | | | | | | | | | | | | | | |
| Trailer: OPEN CONTRACT | | | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

TOTAL SHARES BOUGHT:

TOTAL SHARES SOLD:

06/07/23

2.00 TOTAL DOLLARS BOUGHT:

-2.00 TOTAL DOLLARS SOLD:

-21.06

4.92

Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, TX 75201



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Member FINRA and SIPC - Ally.com/invest

Account Number: 847-74304

OFFICE SERVING YOU
ALLY INVEST - HOUSE REP
855-880-2559

JOSEPH C BELLINA
TOD
165 KLINGER DRIVE
SUGARLOAF PA 18249-3222

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.
2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
8. Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc, see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
12. Underwriting (market 7): the securities described herein are being sold pursuant to a registration statement or where prospectus is required.
13. Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.
15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
17. Backup Withholding - If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon written request.
19. If the official statement/prospectus is available, you can view them at emma.msrb.org. In the event the official statement/prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.
20. Payment of interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

MKT = Market in which transaction was Executed/Cleared

1-New York Stock Exchange
2-NYSE American
3-Other US Exchanges
4-Mutual Funds
5-Options
6-Over-the-Counter/NASDAQ

ACCOUNTS CARRIED BY APEX CLEARING CORPORATION
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453

S1010B81 - 05/21

Buy/Sell Codes

B = Buy
S = Sell
X/B = Unexecuted Buy
X/S = Cancel Sell

Account Types

1-Cash
2-Margin
3-Interest
4-Non-Negotiable
5-Short
6-Special Subscription
7-Description Available on Req
8-Description Available on Req
9-RVP/DVP

Currency Codes

AUD: Australian \$
CAD: Canadian \$
EUR: Euros
GBP: British Pounds
HKD: Hong Kong \$
JPY: Japan Yen
MXN: Mexican Peso
NZD: New Zealand \$
Adm: Currencies
Contact your broker

CAP=Capacity in which your Introducing Broker or Apex has acted

1-2, 4, 5, 8 - As AGENT
3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
6-As AGENT for both buyer and seller
7-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction
9-Other
0-Multiple cap (two or more of agent, principal, & agent for another)
07 - Riskless Principal
A - As Agency
B - As Principal



Ally Invest Securities
P.O. Box 30248, Charlotte, NC 28230
Phone: 855.880.2559 | Fax: 866.699.0563
Member FINRA and SIPC - Ally.com/invest

Account cleared by
Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, Texas 75201

Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M C K A T P |
|-----------|-----|---------------------------------------|-------------|-----|-----|-----------|-----------|------|----------|------------|------------|------------|---------|-------------|
| 1 | S | 06/14/23 | 08/15/23 | 10 | | 0.1500000 | 150.00 | 5.00 | 0.32 | 0.00 | A5784 | 144.68 | A140615 | 5 1 |
| Desc: | | CALL MMAT 01/19/24 2 META MATLS INC | | | | | | | | | | | | |
| Currency: | | USD | | | | | | | | | | | | |
| Trailer: | | CLOSING CONTRACT | | | | | | | | | | | | |
| 1 | B | 06/14/23 | 08/15/23 | 10 | | 0.2200000 | 220.00 | 5.00 | 0.29 | 0.00 | Z1315 | 225.29 | A140615 | 5 1 |
| Desc: | | CALL MMAT 01/17/25 .50 META MATLS INC | | | | | | | | | | | | |
| Currency: | | USD | | | | | | | | | | | | |
| Trailer: | | OPEN CONTRACT | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

TOTAL SHARES BOUGHT:

TOTAL SHARES SOLD:

06/14/23

10.00

-10.00

TOTAL DOLLARS BOUGHT:

TOTAL DOLLARS SOLD:

-225.29

144.68

Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, TX 75201



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Account Number: 847-74304

OFFICE SERVING YOU
ALLY INVEST - HOUSE REP
855-880-2559

JOSEPH C BELLINA
TOD
165 KLINGER DRIVE
SUGARLOAF PA 18249-3222

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

1. All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered. The provisions of the Securities Exchange Act of 1934 and Apex, if any.
2. Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
3. If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
4. Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
5. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
6. All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
7. Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
8. Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
9. Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
10. Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
11. The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
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| MKT = Market in which transaction was Executed/Cleared | Buy/Sell Codes | Account Types | Currency Codes | CAP=Capacity in which your Introducing Broker or Apex has acted: |
|---|-----------------------------------|---------------------|---------------------|---|
| 1-New York Stock Exchange | 7-Underwriting | B = Buy | AUD: Australian \$ | 1-2, 4-5, 8 - As AGENT |
| 2-NYSE American | 8-Foreign Exchanges, Money Funds, | S = Sell | CAD: Canadian \$ | 3-As PRINCIPAL, your broker or Apex has bought from you or sold |
| 3-Other US Exchanges | Stock Dividends, Redemptions, | X/B = Canceled Buy | EUR: Euro | to you and may have received a profit or loss on the transaction |
| 4-Mutual Funds | "Receipts" or Tenders | N/S = Canceled Sell | GBP: British Pounds | 6-As AGENT for both buyer and seller. |
| 5-Options | 9-Other | | HKD: Hong Kong \$ | 7-As PRINCIPAL, your Broker or Apex has bought from you or sold |
| 6-Over-the-Counter/NASDAQ | | | JPY: Japan Yen | to you and may have received a profit or loss on the transaction. |
| ACCOUNTS CARRIED BY APEX CLEARING CORPORATION | | | MXN: Mexican Peso | 9-Other |
| MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453 | | | NZD: New Zealand \$ | 0 Multiple cap.(two or more of agent, principal, & agent for another) |
| | | | Ach/1 Currencies | 07 - Riskless Principal |
| | | | Contact your broker | A - As Agency |
| | | | | B - As Principal |

S1010B61 - 05/21



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Account cleared by
 Apex Clearing Corporation
 350 N. St. Paul Street 1300
 Dallas, Texas 75201

Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M C K A T P |
|-----------|-----|---------------------------------------|-------------|-----|-----|-----------|-----------|------|----------|------------|------------|------------|---------|-------------|
| 1 | S | 06/20/23 | 06/21/23 | 4 | | 0.0100000 | 4.00 | 2.00 | 0.14 | 0.00 | I1238 | 1.86 | A140621 | 5 1 |
| Desc: | | CALL MMAT 01/19/24 2 META MATLS INC | | | | | | | | | | | | |
| Currency: | | USD | | | | | | | | | | | | |
| Trailer: | | CLOSING CONTRACT | | | | | | | | | | | | |
| 1 | B | 06/20/23 | 06/21/23 | 4 | | 0.0800000 | 32.00 | 2.00 | 0.12 | 0.00 | I3285 | 34.12 | A140621 | 5 1 |
| Desc: | | CALL MMAT 01/17/25 .50 META MATLS INC | | | | | | | | | | | | |
| Currency: | | USD | | | | | | | | | | | | |
| Trailer: | | OPEN CONTRACT | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

06/20/23

TOTAL SHARES BOUGHT:

4.00

TOTAL DOLLARS BOUGHT:

-34.12

TOTAL SHARES SOLD:

-4.00

TOTAL DOLLARS SOLD:

1.86

Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, TX 75201



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Account Number: 847-74304

OFFICE SERVING YOU
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JOSEPH C BELLINA
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Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

- All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.
- Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
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- Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
- The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out ("FIFO") for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
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| | | | | |
|---|-------------------|---------------------------------|---------------------|---|
| MKT = Market in which transaction was Executed/Cleared | Buy/Sell Codes: | Account Types | Currency Codes: | CAP=Capacity in which your Introducing Broker or Apex has acted: |
| 1-New York Stock Exchange | B = Buy | 1-Cash | AUD: Australian \$ | 1-2, 4-5, 8 - As AGENT |
| 2-NYSE American | S = Sell | 2-Margin | CAD: Canadian \$ | 3-As PRINCIPAL, your broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction |
| 3-Other US Exchanges | XWB = Cancel Buy | 3-Income | EUR: Euros | 6-As AGENT for both buyer and seller. |
| 4-Mutual Funds | XWS = Cancel Sell | 4- Non-Negotiable | GBP: British Pounds | 7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction. |
| 5-Options | | 5-Short | HKD: Hong Kong \$ | |
| 6-Over-the-Counter/NASDAQ | | 6-Special Subscription | JPY: Japan Yen | |
| ACCOUNTS CARRIED BY APEX CLEARING CORPORATION | | 7-Description Available on Req. | MXN: Mexican Peso | 9-Other |
| MEMBER FINRA, SIPC & NYSE (214) 765-1053 TAX ID #13-2967453 | | 8-Description Available on Req. | NZD: New Zealand \$ | 0-Multiple cap (two or more of agent, principal, & agent for another) |
| | | 9-RVP/DVP | Adm'l Currencies | 67 - Riskless Principal |
| | | | Contact your broker | A - As Agency |
| | | | | B - As Principal |

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Account cleared by
Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, Texas 75201

Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M C K A T P |
|--|-----|------------|-------------|-----|-----|-----------|-----------|------|----------|------------|------------|------------|---------|-------------|
| 1 | S | 06/21/23 | 06/22/23 | 4 | | 0.0100000 | 4.00 | 2.00 | 0.15 | 0.00 | G5488 | 1.85 | A140622 | 5 1 |
| Desc: CALL MMAT 01/19/24 2 META MATLS INC | | | | | | | | | | | | | | |
| Currency: USD ReportedPX: MarkUp/Down: Interest/STTax: 0.00 CUSIP: 8SDWBJ3 | | | | | | | | | | | | | | |
| Trailer: CLOSING CONTRACT | | | | | | | | | | | | | | |
| 1 | B | 06/21/23 | 06/22/23 | 4 | | 0.0800000 | 32.00 | 2.00 | 0.12 | 0.00 | G5466 | 34.12 | A140622 | 5 1 |
| Desc: CALL MMAT 01/17/25 .50 META MATLS INC. | | | | | | | | | | | | | | |
| Currency: USD ReportedPX: MarkUp/Down: Interest/STTax: 0.00 CUSIP: 8SZHQY7 | | | | | | | | | | | | | | |
| Trailer: OPEN CONTRACT | | | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

TOTAL SHARES BOUGHT:

TOTAL SHARES SOLD:

06/21/23

4.00

4.00

TOTAL DOLLARS BOUGHT:

TOTAL DOLLARS SOLD:

-34.12

1.85

Apex Clearing Corporation
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14. Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker or RIA upon written request.

15. Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".

16. Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, will share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.

17. Backup Withholding - If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.

18. Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding those fees may be available upon written request.

19. If the official statement/prospectus is available, you can view them at emma.msrb.org. In the event the official statement/prospectus is not available on EMMA your Introducing Broker and/or Apex has an obligation to provide you with information during the primary offering period but no later than settlement date.

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| MKT = Market in which transaction was Executed/Cleared | Buy/Sell Codes | Account Types | Currency Codes | CAP=Capacity in which your Introducing Broker or Apex has acted: |
|--|-------------------|--------------------------------|---------------------|---|
| 1-New York Stock Exchange | B = Buy | 1-Cash | AUD: Australian \$ | 1-2, 4-5, 8 - As AGENT |
| 2-NYSE American | S = Sell | 2-Margin | CAD: Canadian \$ | 3-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction |
| 3-Other US Exchanges | X/B = Cancel Buy | 3-Income | EUR: Euros | 6-As AGENT for both buyer and seller. |
| 4-Mutual Funds | X/S = Cancel Sell | 4- Non-Negotiable | GBP: British Pounds | 7-As PRINCIPAL, your Broker or Apex has bought from you or sold to you and may have received a profit or loss on the transaction. |
| 5-Options | 9/Offr | 5-Short | HKD: Hong Kong \$ | 9-Other |
| 6-Over-the-Counter/NASDAQ | | 6-Special Subscription | JPY: Japan Yen | 0 Multiple capacities or more of agent, principal, & agent for another |
| ACCOUNTS CARRIED BY APEX CLEARING CORPORATION | | 7-Description Available on Req | MXN: Mexican Peso | 67 - Riskless Principal |
| MEMBER FINRA, SIPC & NYSE (214) 765-1055, TAX ID #13-2967453 | | 8-Description Available on Req | NZD: New Zealand \$ | A - As Agency |
| | | 9-RVP/DVP | AdFT Currencies | B - As Principal |
| | | | Contact your broker | |

SI010B81 - 05/21



Ally Invest Securities
P.O. Box 30248, Charlotte, NC 28230
Phone: 855.880.2559 | Fax: 888.699.0563
Member FINRA and SIPC - Ally.com/invest

Account cleared by
Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, Texas 75201

Account Number: 847-74304

Account Name: JOSEPH C BELLINA

| Acct Type | B/S | Trade Date | Settle Date | QTY | SYM | PRICE | Principal | COMM | Tran Fee | Add'l Fees | Tag Number | Net Amount | Trade# | M C K A T P |
|--|-----|------------|-------------|-----|-----|-----------|-----------|------|----------|------------|------------|------------|---------|-------------|
| 1 | S | 06/28/23 | 06/29/23 | 18 | | 0.0100000 | 18.00 | 9.00 | 0.57 | 0.00 | C7648 | 8.43 | A140629 | 5 1 |
| Desc: CALL MMAT 01/19/24 2 META MATLS INC | | | | | | | | | | | | | | |
| Currency: USD ReportedPX: MarkUp/Down: Interest/STTax: 0.00 CUSIP: 8SDWB3 | | | | | | | | | | | | | | |
| Trailer: CLOSING CONTRACT | | | | | | | | | | | | | | |
| 1 | B | 06/28/23 | 06/29/23 | 18 | | 0.0800000 | 144.00 | 9.00 | 0.52 | 0.00 | C7628 | 153.52 | A140629 | 5 1 |
| Desc: CALL MMAT 01/17/25 .50 META MATLS INC | | | | | | | | | | | | | | |
| Currency: USD ReportedPX: MarkUp/Down: Interest/STTax: 0.00 CUSIP: 8SZHQY7 | | | | | | | | | | | | | | |
| Trailer: OPEN CONTRACT | | | | | | | | | | | | | | |

SUMMARY FOR CURRENT TRADE DATE:

TOTAL SHARES BOUGHT:

TOTAL SHARES SOLD:

06/28/23

18.00

-18.00

TOTAL DOLLARS BOUGHT:

TOTAL DOLLARS SOLD:

-153.52

8.43

Apex Clearing Corporation
350 N. St. Paul Street 1300
Dallas, TX 75201



Ally Invest Securities
P.O. Box 30248, Charlotte, NC 28230
Phone: 855 880 2559 | Fax: 866 899 0563
Member FINRA and SIPC - Ally.com/invest

Account Number: 847-74304

OFFICE SERVING YOU
ALLY INVEST - HOUSE REP
855-880-2559

JOSEPH C BELLINA
TOD
165 KLINGER DRIVE
SUGARLOAF PA 18249-3222

Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") directly or through your brokerage firm (the "Introducing Broker") or registered investment advisor ("RIA"). Amounts or securities due must be received in Apex's office on or before the settlement date shown.

- All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.
- Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker or RIA for directing orders to select market centers or market participants.
- To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request. In addition, zero coupon bonds do not have periodic payments, may be callable below maturity value and if callable and in bearer form, are callable without notice by mail unless registered.
- All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
- Good Till Cancel ("GTC") orders are "live" during regular trading hours. GTC orders are canceled by Apex each business day (canceled at the exchange or market center) at 4:00pm EDT, with the exception of certain broad based ETF options that trade until 4:15pm EDT. GTC orders are resubmitted by Apex at the start of each trading day (prior to 9:30am EDT). Time Priority will be reset each day as the order arrives at the exchange or market center. If a GTC order receives a partial execution and is not fully executed, the order quantity will adjust to the remaining quantity for the next business day. Commissions may be charged each day that the order receives one or more partial executions. Please contact Apex, your Introducing Broker or RIA for more specific details. Until cancellation of the GTC order, all open orders will be considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original GTC order rests upon the Customer, Introducing Broker or RIA with discretionary trading authority. Therefore, if a Customer, Introducing Broker or RIA with discretionary trading authority fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) may be entered into Customer's account.
- Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Introducing Broker or RIA.
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| | | | | |
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| ACCOUNTS CARRIED BY APEX CLEARING CORPORATION | 0-Other | 7-Description Available on Req | MNN: Mexican Peso | 07 - Riskless Principal |
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| | 9-RVP/DVP | 9-RVP/DVP | ADD: Currencies | B - As Principal |
| | | | Contact your broker | |

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